

Email

From: [redacted]
Sent: Wednesday, October 14, 2009 8:32 PM
To: [redacted] (LEO); [redacted] (LEO); [redacted] (LEO)
Cc: [redacted] (LEO); [redacted] (LEO); [redacted] (LEO); [redacted] (LEO)
Subject: RE: NDR field 2.098

[redacted]
As we've discussed, this language covers my concerns.

I'm not sure how well the "may be searched...to support FBI mission" will go over or if that type of language is necessary in the PIA. But I'll leave those questions to [redacted] and [redacted]

[redacted]
W
C
[redacted]

From: [redacted] [redacted]@ic.fbi.gov
Sent: Wednesday, October 14, 2009 2:23 PM
To: [redacted] (LEO); [redacted] (LEO); [redacted]; [redacted] (LEO)
Cc: [redacted] (LEO); [redacted] (LEO); [redacted] (LEO); [redacted] (LEO)
Subject: RE: NDR field 2.098

I think you are right about opting out of the response from IDENT (not the search itself). Good point. I do think that the additional line covers the concept that additional searches are being done and is sufficient. "Incoming submissions may be searched against default repositories to support the FBI mission (e.g., ULF, RISC, IDENT)." b2 b6 b7C

I also think it might be confusing to re-word the entire concept to focus on response dissemination, but potentially a second line could be added to clarify that the users designation of a repository to be searched would enable a response from the requested repository.

What does everyone else think?

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FBI/CJIS Management & Program Analyst
New Business and Rapid Prototyping Unit
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From: [redacted]
Sent: Wednesday, October 14, 2009 12:55 PM
To: [redacted] (LEO); [redacted] (LEO); [redacted]; [redacted] (LEO)
Cc: [redacted] (LEO); [redacted] (LEO); [redacted] (LEO); [redacted] (LEO)
Subject: Re: NDR field 2.098

[redacted]
I was under the impression that all Interoperability incoming submissions would search IDENT per the APBs recommendation and that the NDR field would be populated by the submitting agency to indicate the desire for the IDENT response. At any rate, it seems we need to show an IDENT response is optional and how the submitter should request the

response. Also, I did not think agencies could opt out of the search (they could only opt out of receiving the response) of IDENT due to the record linking paper.

[redacted]
Management and Program Analyst
FBI, CJIS Division

[redacted] (w)

[redacted] (e)

--sent from blackberry--

From: [redacted]
To: [redacted] (LEO); [redacted] (LEO); [redacted]@leo.gov [redacted]; [redacted] (LEO)
Cc: [redacted] (LEO); [redacted] (LEO); [redacted] (LEO); [redacted] (LEO)
Sent: Wed Oct 14 11:44:36 2009
Subject: NDR field 2.098

A concern was raised with the description within the EBTS for the NDR field 2.098 during preparation for a WIN meeting this week (Oct 15th & 16th). Specifically, the description in the current 8.1 and the proposed 9.0 do not clarify that there are instances in which the FBI will search a repository not specifically requested by the end-user, to support an FBI business process. Today, both RISC and IDENT may be searched EVEN IF THE END-USER DOES NOT DESIGNATE THEM. I believe that we also reverse search 10 prints against the ULF.

b2
b6
b7C

To prevent any possible user confusion, we need to add a blurb to the existing EBTS language. I suggest a sentence after the NDR 2.098 table within the EBTS that states: "Incoming submissions may be searched against default repositories to support the FBI mission (e.g., ULF, RISC, IDENT)."

I have not added anything about users who wants to 'opt-out'. I believe that business rules established based on MOUs should allow for users to broadly opt-out of the IDENT and Latent searches and not require additional fields. I believe, but would want clarification from the SDO, that the SRD sufficiently supports 'opt-out'. I don't believe that option exists for RISC. Not sure if the users will insist that an 'opt-out' sentence be added here or not.

[redacted] Do you agree with the proposed language, and agree that it be addressed during IIETF discussions next week? Any discussion with IIETF/WIN on this topic yet?

[redacted] How do you suggest following up on the "opt-out"? Once we hear back from the others we should know how to proceed with IIETF next week. Thanks for your help.

BACKGROUND

1.

I do not believe that we asked the APB to vote on a default search of RISC. However, it is clearly described as early as the Spring 2007 IS Subcommittee. As an internal note, there was much CJIS discussion in March 2007 on whether IAFIS must perform default searches or whether a true 'hub' capability existed. I believe the final decision was left to MPI, as OGC/AIU responded that there would be no legal liability for not performing the default search, but the best practice would be determined based on FBI mission needs.

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RISC default searches
IS Subcommittee Spring 2007
IS Issue #1 Next Generation Identification (NGI) Program Update
AFIT RISC Rapid Search Discussion

"In addition, the IAFIS will provide a non-rapid identification search of the RISC for all ten-print identification search requests."

2.

The APB clarified the default search for IDENT in June 2009.

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FBI-SC-1312

IDENT default searches

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June 2009 APB Recommendation #15

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APB Item #9 Chairman's Report on the IS Subcommittee

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IS Issue #4 Clarification on Record Linking

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APB Recommendation: The APB passed a motion to accept Option #1 with amended verbiage as shown in bold: For record linking/maintenance purposes, a search/record update will be sent to the Department of Homeland Security's (DHS) Automated Biometric Identification System (IDENT) regardless of the CJIS Division stakeholder's request for an IDENT search. The state can opt out of receiving the response. The approved motion included a friendly amendment to continue the use of the Transaction Control Number/FBI number conversion.

3.

ULF searches are probably best described under the IAFIS enhancements list, since we have recently implemented reverse searches for non-retained criminals and retained civils. The APB first addressed the expansion in June 2007.

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Latent reverse searches

IS Subcommittee Fall 2008

IS Issue #2 Integrated Automated Fingerprint Identification System (IAFIS) Enhancement Status

Enhancement #40

[TABLE]

IAFIS ULF Cascade Capabilities to Support Automated Searches for Retain and Non-retain Criminal and Civil Tenprint Transactions. Approved by APB 6/07. Completed June 2008 Criminal and Humanitarian (non-retain/non-ident). January 2009 Civil Retain/non-ident

June 2007 APB

APB Item #9 Chairman's Report on the IS Subcommittee

IS Issue #6 Proposal to Enhance IAFIS Unsolved Latent File (ULF) Cascade Capabilities to Support Automated Search for Retain and Non-retain Criminal and Civil Ten-Print Transactions

APB Action: The APB voted to approve the topic as information only and request the FBI to look into doing a partial opt-in based on statute with the implementation of NGI.

EBTS Version 9.0 DRAFT

NDR 2.098 - Name of Designated Repository. (Future Capability) This field contains the numerical designation of the repository(ies) to be searched. Repository numbers are assigned by

the CJIS Division. Multiple entries in this field will indicate a desire to search more than one

repository, including Canada's RTID and authorized DHS records. Multiple entries will be separated by the RS separator. The following values are acceptable for NDR.

[TABLE]

NDR Value File Name

1 Criminal Master File Records

2 Civil Records

3 Unsolved Latent File

FBI-SC-1313

- 4 Major Case File Records
- 5 Latent Image File Records
- 6 Repository for Individuals of Special Concern
(RISC)
- 7 Canada Real Time Identification (RTID)
- 8 DoD Automated Biometric Identification System
(ABIS)
- 9 DHS IDENT/US-VISIT
- 10 International Terrorist File (ITF) Participants
- 11 RISC Wants and Warrants (W&W)
- 12 RISC Sexual Offender Registry (SOR)
- 13 RISC Known and Suspected Terrorist (KST)
- 14 RISC International Terrorist File (ITF)
- 15 RISC Persons of Special Interest (Other)
- 16 - 100 Reserved for Future Use
- 101-125 FBI Special Population Cognizant Files
- 126-135 Other Federal Organization Special Population
Cognizant Files

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